

CT Plan Member or SRO Group Disclosure Form

NYSE Chicago, Inc.

- Is the Member for-profit or not-for-profit?

The Participant is a subsidiary of a for-profit firm

- If the Member is for-profit, is it publicly or privately owned?

Publicly owned

- If privately owned, list any owner with an interest of 5% or more of the Member, where to the Member's knowledge, such owner, or any affiliate controlling, controlled by, or under common control with the owner, subscribes, directly or through a third-party vendor, to CT Feeds and/or Member PDP.

- Does the Member offer PDP?

Yes.

- If yes, list each product, describe its content, and provide a link to where fees for each product are disclosed.

Each product is described here: <https://www.nyse.com/market-data/real-time>

Fee schedules for each product are linked on this page:
<https://www.nyse.com/market-data/pricing-policies-contracts-guidelines>

- Provide the names of the Voting Representative, any alternative Voting Representatives designated by the Member, and any Member Observers. Also provide a narrative description of such persons' roles within the Member organization, including the title of each individual as well as any direct responsibilities related to the development, dissemination, sales, or marketing of the Member's PDP, and the nature of those responsibilities sufficient for the public to identify the nature of any potential conflict of interest that could be perceived by a reasonable objective observer as having an effect on the operation of the Company. If such persons work in or with the Member's PDP business, describe such persons' roles and describe how that business and such persons' Company responsibilities impacts their compensation. In addition, describe how such persons' responsibilities with the PDP business may present a conflict of interest with their responsibilities to the Company.

Josh Burch, Head of Exchange Products -- Manages product rollout on all NYSE Group Equity and Option exchanges and leads strategic direction with respect to product growth efforts. No compensation that is directly tied to the Proprietary Market Data business or other relevant conflicts.

Robert Turtoro, Director NYSE Trading Operations -- Manages Trading Operations Desk Support for all NYSE Group equity exchanges. No responsibilities with respect to Proprietary Market Data.

- Does the Member, its Voting Representative, its alternative Voting Representative, its Member Observers, or any affiliate have additional relationships or material economic interests that could be perceived by a reasonable objective observer to present a potential conflict of interest with their responsibilities to the Company? If so, provide a detailed narrative discussion of all material facts necessary to identify the potential conflicts of interest and the effects they may have on the Company.

No